Avian egg shape: Form, function, and evolution

Avian egg shape is generally explained as an adaptation to life history, yet we currently lack a global synthesis of how egg-shape differences arise and evolve. Here, we apply morphometric, mechanistic, and macroevolutionary analyses to the egg shapes of 1400 bird species. We characterize egg-shape diversity in terms of two biologically relevant variables, asymmetry and ellipticity, allowing us to quantify the observed morphologies in a two-dimensional morphospace. We then propose a simple mechanical model that explains the observed egg-shape diversity based on geometric and material properties of the egg membrane. Finally, using phylogenetic models, we show that egg shape correlates with flight ability on broad taxonomic scales, suggesting that adaptations for flight may have been critical drivers of egg-shape variation in birds.

About 360 million years ago, the ancestors of all terrestrial vertebrates began to colonize habitats out of the water. This transition was facilitated by a critical evolutionary innovation: the development of the amniotic egg, complete with a specialized set of membranes and a shell (1). Among the most familiar of these structures are the calcified eggs of birds, which exhibit a variety of sizes and shapes (2): spherical in owls, elliptical in hummingbirds, conical in shorebirds, and a range of forms in between. However, we still know surprisingly little about the function of egg shape or the physical mechanism by which shape variation arises (1, 3).

Hypotheses proposed for the adaptive function of egg shape typically invoke a decrease in egg loss for cliff-nesting birds laying conical eggs that roll in a tight circle (4); an increase in incubation efficiency when egg shape is associated with the number of eggs in a clutch (5,6); or other advantages related to strength, diet, and development. For example, spherical eggs might be advantageous because the sphere is uniformly strong and would be robust to incidental damage in the nest.

Spherical eggs, with their minimal surface-area-to-volume ratio, also require the least amount of shell material for a given volume (7) and possibly optimize gas exchange by providing a large surface area for pores (8). In contrast, conical eggs may be beneficial because they can accommodate an increased concentration of pores at the blunt end, creating a specialized respiratory site for accelerated neural development in precocial birds (8). Moreover, conical eggs may protect the blunt end (from which chicks usually hatch) from debris contamination or, in colonial breeders, increase resistance to impacts because a larger proportion of the eggshell is in contact with the substrate (9). Finally, it has also been proposed that adaptations for flight influence egg shape indirectly through the morphology of the pelvis, abdomen, or oviduct (10). Previous studies investigating the diversity of egg shape have been hampered by small sample size, reflecting the lack of comprehensive data on egg morphometry and life history traits, and therefore most of the above hypotheses

Fig. 1. Morphospace of avian egg shape.
Average egg shapes for each of 1400 species (black dots), illustrating variation in asymmetry and ellipticity, as defined in the text. Images of representative eggs are shown alongside their associated points in morphospace (colored red) to highlight variation in shape parameters. [Egg images (shown on the same relative scale) are copyright of the Museum of Vertebrate Zoology, Berkeley. Image details and sources are given in Data S2.]

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remain untested, particularly within a phylogenetic framework (5).

Here, we resolve these issues by quantifying egg shape using a morphometric analysis of 49,175 eggs representing ~1400 (~14% total) species in 35 extant orders, plus two extinct orders (Data S1). We then use these data to (i) quantify the observed morphologies in a two-dimensional (2D) morphospace for egg shape; (ii) provide a mechanistic model of how the egg is shaped in the oviduct; and (iii) test major hypotheses about egg shape variation in the context of key life history and environmental variables on a global scale. As a first step, we plotted egg shape in a 2D morphospace that characterizes the asymmetry A and ellipticity E of axisymmetric eggs (Fig. 1 and figs. S1 and S2), in line with earlier proposals that egg shape should be quantified using plane projective geometry (11, 12). This approach showed that the occupied morphospace is triangular (Fig. 1 and fig. S3), with the bounding vertices corresponding to eggs that are symmetric and spherical, symmetric and elliptical, and asymmetric and elliptical. Interestingly, the occupied morphospace lacks spheroidal, asymmetric eggs, somewhat similar in shape to a hot air balloon (fig. S3), a point to which we will return. A preliminary investigation of morphospace data highlights two key observations.

First, egg shape is a continuum, with no divisions between traditionally defined shape classes. Egg shapes range from $A = 0.001$ (Streptopelia bitorquata, island collared dove) to 0.485 (Calidris minitilla, least sandpiper) and $E = 0.097$ (Ninox scutulata, brown hawk-owl) to 0.724 (Macrocephalon nuda, maleo) (Fig. 1). A density map (fig. S4) shows that many species converge on egg shapes with $A \in [0.1, 0.2]$ and $E \in [0.3, 0.4]$, similar in shape to the egg of the graceful prinia (Prinia gracilis) but not to the familiar “egg shape” of the chicken ancestor, the red junglefowl (Gallus gallus) (Fig. 1). The most densely occupied region of morphospace includes eggs laid by 26 species in 16 families and three orders (fig. S4). The traditional egg-shape classes often presented in the literature fail to identify this canonical egg form, with no single egg-shape class falling near the true most common egg shape (fig. S5).

Second, avian clades differ widely in their distribution across egg morphospace (Fig. 2 and fig. S6), although substantial within-clade variation generates overlaps between clades (Fig. 2). Clades also differ in the extent of within-clade variation, as quantified by the area of the minimum convex hull containing species within each avian order (Fig. 2, fig. S7, and table S1). For example, Charadriiformes (shorebirds) ($n = 154$) occupy a far greater area than do Passeriformes (perching birds, including songbirds) ($n = 740$) (Fig. 2 and table S1) (33), despite the fact that Passeriformes are more speciose than Charadriiformes by more than an order of magnitude. Furthermore, bird eggs span a morphospace that differs from that occupied by theropod dinosaurs, nonavian reptiles, and monotreme mammals, particularly in terms of asymmetry (fig. S8). Elongate, asymmetric eggs evolved before the ancestor of extant birds: Some theropod dinosaurs laid asymmetric eggs (14, 15), and a survey of Cenozoic fossil bird eggs shows that considerable variation in egg shape arose before extant birds (16). However, extant birds colonized some new regions of morphospace unoccupied by any other extinct or extant group of vertebrates, including regions of very high (>0.45) asymmetry and very low (<0.2) ellipticity (fig. S8).

### A biophysical model of egg shape

These patterns reveal how egg shape varies across birds and pave the way to a mechanistic theory that can predict shape. Such a theory can generate predictions about physical processes in the oviduct that may facilitate or constrain (fig. S3) the evolution of egg-shape variation. In developing this theory, we assume that egg shape is fixed by the shell membranes rather than by the shell itself, evidence for which comes from x-ray imaging (17) and experiments showing that an egg retains its shape even after shell removal (3). Egg shape is likely established as the egg moves through the isthmus (8), one of the final portions of the oviduct.

In the isthmus, the egg is surrounded by its membrane (actually a double membrane), a meshwork of collagenous fibers (18). A recent study in chickens suggests that the magnum-isthmus junction, where membrane deposition is initiated, is the site of shape determination; before reaching this part of the oviduct, eggs are more spherical and less asymmetric (19). After leaving the isthmus, the egg enters the shell gland, where the biomineralized calcium carbonate shell is deposited.

Building on these insights, a biomechanical approach based on pressure differences across a closed elastic membrane of varying thickness is a natural starting point (20–22). Our proposed model makes the simplest assumption consistent with a nonspherical shape for a pressurized closed elastic membrane: that the membrane properties are homogeneous owing to variations in thickness and material properties. When such a membrane is pressurized, it distends and takes up a form determined by two types of variation across the egg’s surface: (i) variation in applied pressure difference across the surface; and (ii) variation in the membrane’s thickness and material properties across the surface. We extend previous work that has alluded to these ideas qualitatively (20–22) by constructing a mathematical model that connects to the available experimental literature and emphasizes the central role played by the membrane. This model accounts for (i) differential forcing on the membrane from external muscular forces and internal pressure and (ii) variations in membrane properties in the axial and azimuthal directions.

We assume that egg growth and morphogenesis proceed as follows: (i) the enclosed membrane is deposited around the sphere of yolk and albumen; (ii) internal pressure is created inside the membrane-enclosed sac, which could arise from fluid being actively pumped into the sac (i.e., water absorption or “plumping”), differential elasticity of the isthmus wall, muscular contractions, or some combination of these; and (iii) the variation in membrane material properties (including collagen fiber composition/direction, elastic modulus, and thickness) contributes to differential distortion in response to pressure in the axial and azimuthal directions. Ellipticity results from the fact that the membrane is elastic, where the fluid being actively pumped into the sac, which could arise from fluid being actively pumped into the sac (i.e., water absorption or “plumping”), differential elasticity of the isthmus wall, muscular contractions, or some combination of these; and (iii) the variation in membrane material properties (including collagen fiber composition/direction, elastic modulus, and thickness) contributes to differential distortion in response to pressure in the axial and azimuthal directions. Ellipticity results from the fact that the membrane is elastic, where the surface. We extend previous work that has alluded to these ideas qualitatively (20–22) by constructing a mathematical model that connects to the available experimental literature and emphasizes the central role played by the membrane. This model accounts for (i) differential forcing on the membrane from external muscular forces and internal pressure and (ii) variations in membrane properties in the axial and azimuthal directions.

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## A mathematical model of egg shape

The shape of an axisymmetric egg can be completely described by a planar curve C, with radial and angular coordinates $r(\sigma), \theta(\sigma)$ as a function of a curvilinear material coordinate $s$ (Fig. 3). As the membrane distends under pressure, we define the axial extension ratio $\lambda_s = ds/d\sigma$, where $s$ is the stretched coordinate, and the azimuthal
extension ratio \( \lambda_\varphi = r/r_0 \), with the associated principal strains \( \varepsilon_n = (\lambda_n^2 - 1)/2; \varepsilon_\varphi = (\lambda_\varphi^2 - 1)/2 \). Assuming a simple linear constitutive relation linking stress and strain \((23, 24)\), we may then write the thickness-integrated axial and azimuthal stresses (Fig. 3) as \( t_n = A_\varepsilon \varepsilon_n + B_\varphi \varepsilon_\varphi; t_\varphi = A_\varepsilon \varepsilon_\varphi + B_\varphi \varepsilon_\varphi \) where \( A(\varphi), B(\varphi) \) are the thickness-integrated axial and azimuthal stretching stiffnesses of the egg membrane, respectively, and depend on the local elastic moduli as well as the thickness of the membrane. This allows us to write the equations of mechanical equilibrium for the egg membrane in the normal and tangential directions as \( P = x_n t_n + x_\varphi t_\varphi, \partial(\partial_\varphi) / \partial \varphi - t_\varphi \partial \varphi / \partial \varphi = 0 \), in terms of the principal curvatures of the membrane \( \kappa_n = \partial^2 \varphi / \partial \varphi^2 \) and \( \kappa_\varphi = \sin(\varphi) / r \), and the constant pressure difference across the membrane \( P \). Here, we have ignored the free boundaries associated with the fact that the egg is not in contact with the walls of the oviduct near the poles, because we assume that the shape is preserved even in the absence of the walls. There will be a small correction to the shape if we account for the effects of finite membrane bending stiffness and the free boundaries. Making the variables in the above equations dimensionless \((13)\) allows us to rewrite them in terms of the ratio of the azimuthal to the axial stiffness \( \mu(\alpha) = B(\alpha) / A(\alpha) \) and the scaled effective pressure \( p(\alpha) = P / A(\alpha) \). The solutions of these equations for prescribed forms of these functions give us the shape of the egg; indeed, the spatial variation of \( \mu(\alpha), p(\alpha) \) (as a function of location across the egg's surface) controls both the asymmetry and ellipticity of the egg \((13)\).

To constrain these two functions, we note that early work \((25)\) suggests that there is considerable variation in the properties of chicken eggshell membrane along its longitudinal axis, including variation in membrane thickness \( h(\alpha) \), membrane density, membrane nitrogen, and membrane packing index. This indicates that density of packing...
of the fibers in the membrane, and thus the membrane’s elastic modulus, must vary from pole to pole due to variations in the type (e.g., collagen), orientation, density, and amount of material. Based on these observations, and on the membrane thickness data from (25), we use simple power-law functions for the scaled pressure, \( p(\sigma) \), which depends on \( A(\sigma) \). Starting with a spherical egg shape that corresponds to a constant thickness and elastic moduli of the egg membrane, we can generate nonspherical eggs by iteratively changing \( p(\sigma) \) and \( \mu(\sigma) \) away from a constant value. For example, by making the membrane slightly thicker at one pole, the scaled pressure will be larger at the opposite pole because \( A(\sigma) \) will be smaller (thinner) there, so that the egg will become asymmetrical. This serves as a new initial shape on which we can make another incremental change in the membrane thickness, or equivalently in \( A(\sigma), B(\sigma) \), and solve the governing equations to get the new shape. In Fig. 3, B to D, we show how to derive the classic shape of a chicken egg as the number of growth steps \( N \) is varied (see also fig. S9). It is worth noting that our procedure yields egg shape but cannot predict absolute egg size because it uses rescaled variables. For example, if the pressure and membrane material properties are rescaled appropriately, this will result in larger eggs with the same shape.

Changing the functions \( p(\sigma) \) and \( \mu(\sigma) \) by varying the parameters in the power-law form allows us to generate egg shapes that span the full avian egg morphospace (Fig. 3E), as well as counterfactual egg shapes that do not appear in nature (fig. S10) (13). These counterfactual shapes are typically associated with strong and unusual variations in \( \mu(\sigma) \), \( p(\sigma) \) due to very localized variations in membrane thickness, mechanical properties, or both. Therefore, either the eggs of living birds are constrained (because the evolution of extreme variation in membrane properties is challenging) or such shapes have been strongly selected against (because the evolution of bizarre shapes is possible but maladaptive).

**Testing functional hypotheses for egg-shape variation**

Having quantified and mechanically described egg shape, we investigated the evolution of diverse egg shapes by performing comparative analyses using recent phylogenetic hypotheses for the backbone (26) and tips (27) of the avian tree. Focusing on 1209 species in our data set for which molecular sequence data exist, we first assembled a phylogenetic tree onto which we mapped egg size (approximated by the length of the egg’s major axis), asymmetry, and ellipticity (Fig. 4) (13). Egg size and shape vary markedly across this tree (Fig. 4), with increases in asymmetry and/or ellipticity occurring in parallel across different lineages—for example, highly asymmetric eggs evolved independently in penguins and in shorebirds.

To test functional explanations for the evolution of these diverse egg shapes, we compiled a data set with biometric, life history, and environmental parameters for all species in our sample. This included adult body mass, diet, clutch size, nest type, nest location, chick developmental mode (e.g., precocial), and environmental details (latitude, temperature, and average precipitation), calculated from geographical range polygons using standard techniques (13). We also used biometric measurements from museum specimens to calculate the hand-wing index (HWI), a standard proxy for flight efficiency and dispersal ability in birds (13, 28, 29). We computed HWI as the ratio of Kipp’s distance (the distance between the tip of the longest primary and the tip of the first secondary feather) to total wing chord (distance between the carpal joint and wrist tip) (29). Although HWI correlates with dispersal distance and migratory behavior in birds (13), we note that neither dispersal distance nor migration completely captures the essence of flight ability, because many bird species (e.g., some shorebirds and hummingbirds) fly well even though they are nonmigratory with low dispersal. Using HWI as an index of flight ability sidesteps this issue because even resident species with stronger and more frequent flight tend to have narrower and more pointed wingtips (high HWI), whereas species with weaker and less frequent flight tend to have shorter, more rounded wingtips (low HWI) (13). These assorted parameters allowed us to run Bayesian phylogenetic mixed-effect models to explore the extent to which various adaptive hypotheses predict avian egg shape on a global scale (tables S2 to S5) (13).

According to these analyses, egg length is predicted by adult body mass, clutch size, diet, and developmental mode (table S2A). In most cases,
these relationships support existing hypotheses, given that shorter eggs are associated with larger clutch sizes and longer eggs are associated with a higher-calculator diet, larger adult body mass, and greater chick precociality. These findings suggest that egg size is regulated, at least in part, by life history characteristics and spatial constraints in the nest. In contrast, we found that egg shape (asymmetry and ellipticity) is not related to clutch size, developmental mode, environmental factors, or nest characteristics (table S2, B and C). These analyses consider variation across the entire avian clade, and on this taxonomic scale we find no support for the hypothesis that asymmetric eggs evolve more often in clifftop nesters or that egg shape is related to clutch size, which is consistent with our preliminary morphospace analysis (figs. S11 and S12). Although theoretically optimal egg shapes for different-sized clutches have been proposed in the context of incubation efficiency (5), these optima fall outside the true occupied morphospace of natural eggs for all but two clutch sizes (n = 3, n = 9) (fig. S11).

Instead, egg shape is correlated with egg size (captured by the first principal component PC1 of egg length and adult body mass) and HWI, with birds tending to lay eggs that are more asymmetric or more elliptical if they have a high HWI and/or if the eggs are larger (table S2, B and C). In addition, ellipticity is significantly predicted by the second principal component PC2 of egg length and body mass, such that birds with larger eggs than expected given their body mass lay more elliptical eggs (table S2C). Given that HWI is positively related to flight efficiency (13, 28, 29), these results raise the intriguing possibility that adaptations for flight may be key drivers of egg shape variation in birds. This is consistent with a range of observations suggesting that key adaptations for high-powered flight—including reduced body size, a reduced abdominal cavity, and the loss of a functional ovary and oviduct—may have considerable effects on egg shape (33). In particular, such adaptations place constraints on the maximum size or width of a stretched oviduct, which in turn can be accommodated by increasing ellipticity and/or asymmetry of eggs to increase egg volume while maintaining or reducing girth. In accordance with this idea, we find that for a given girth (the widest part of the egg), species laying eggs that are more asymmetric (Fig. 5A) or more elliptical (Fig. 5B) tend to possess eggs that have higher volumes.

We do not suggest that a female’s flight behavior during the egg formation period directly affects egg formation, nor do we suggest that egg shape so strongly influences the flight abilities of female birds during their egg-laying period that selection has produced an aerodynamic egg. Rather, we propose that general adaptations for strong flight select for a constrained, muscular, streamlined body plan in both males and females, giving rise in the latter, directly or indirectly, to asymmetric and/or elliptical eggs. The precise physiological mechanisms by which morphological adaptations for flight might affect egg shape are unknown. However, the answer most likely lies in the two parameters highlighted by our biophysical model: egg membrane thickness variations and the differential pressure applied across the membrane, both of which are potentially shaped by selection for a streamlined body plan.

Exploring the effect of flight adaptations on egg shape

Why different avian groups take different evolutionary paths (increased asymmetry, ellipticity, or both) toward maintaining or reducing girth is unclear, but considering the deeper evolutionary history of egg shape may yield some insights. We note that some theropod dinosaurs, particularly the maniraptorans from which birds descended, laid asymmetric eggs (30). The loss of a functional ovary and oviduct likely occurred near the maniraptoran-avian transition (30, 31) and may be an innovation unique to birds (32). Thus, egg asymmetry and the loss of a functional ovary appeared around the same time, and are perhaps closely linked to, the emergence of powered flight. Egg ellipticity, by contrast, appears to have originated much earlier in reptiles (crocodilians have elliptical eggs, for example) and may have evolved to accommodate the large egg volumes required for precocial offspring, when egg girth is limited by the oviduct or pelvic opening (33).

When it comes to powered flight, does egg asymmetry offer added advantages over ellipticity, or is it a consequence of new and extreme morphological shifts, like oviduct loss? The answer remains unknown. However, if asymmetry is physiologically linked to powered flight, we might expect reduced flight-related selection pressure to be associated with less asymmetric egg shapes. Consistent with this hypothesis, we find that kiwis lay hyperelliptical eggs with relatively low asymmetry and that the other flightless ratites lay eggs that are roughly spherical (ostrich) or elliptical without a high degree of asymmetry ( rheas and cassowaries) (fig. S13). Contrary to this hypothesis, however, we note that not all flightless birds have evolved symmetric eggs. Penguins are a clear exception (fig. S13), but because they are powerful underwater swimmers, it remains plausible that selection for a streamlined body plan has nonetheless influenced the evolution of egg asymmetry in the absence of flight.

Having found a strong association between HWI and egg shape on a broad taxonomic scale (across all avian orders), we next explored whether these relationships, or others, describe egg-shape variation within smaller avian groups with contrasting life histories and ecologies. First, we compared asymmetry (Fig. 5C) and ellipticity (Fig. 5D) of species with high (upper 50%) HWI versus low (lower 50%) HWI within the 12 most speciose orders in our data set. Although we did not control within orders for phylogeny and other factors, these pairwise comparisons are nonetheless broadly matched for phylogeny by comparing within orders. The results reveal considerable variation among orders in the extent to which asymmetry and ellipticity may vary as a function of HWI but also highlight the generality of the pattern detected in our broad taxonomic analyses (Fig. 5, C and
D, and fig. SI4C). Specifically, increased HWI (and hence dispersal ability) is generally associated with an increase in both egg asymmetry and ellipticity within orders. Next, we ran phylogenetic models restricted to (polyphyletic) seabirds (SI3) (table S3), (monophyletic) passerines (order Passeriformes) (table S4), and (monophyletic) shorebirds (order Charadriiformes) (table S5). The results reveal that some additional explanatory variables can contribute to egg-shape variation in particular case studies. For example, in Charadriiformes, variation in egg asymmetry is associated with developmental mode (table SSB and fig. SI5) rather than variation in HWI (fig. SI4C), perhaps because highly asymmetric eggs can accommodate a pore-dense respiratory site for rapid neural development in precocial chicks (8). In Passeriformes, species are more likely to lay elliptical eggs if they have small clutches, after controlling for other effects (table S4C). Thus, life history traits may have a substantial secondary influence on egg-shape evolution on smaller taxonomic scales, but we still find little evidence for the role of cliff-nesting or clutch size in driving the evolution of egg asymmetry, even in the specific groups that inspired these classical hypotheses (seabirds and shorebirds, respectively). Overall, our results suggest that egg shape is largely influenced by morphological traits associated with flight ability, both in Passeriformes (the most speciose avian radiation) (table S4) and across all birds (table S2). However, these associations do not apply equally to all smaller clades (fig. 5, C and D, and fig. SI4), and further research is required to examine egg-shape variation at a range of taxonomic levels.

Conclusions
Avian eggs provide a simple but powerful system in which to explore the physical and evolutionary drivers of morphological diversity. We have shown that a 2D morphospace captures the range of egg shapes produced by birds and that a biophysical model can explain this diversity, with testable predictions linking membrane properties to shape. Our macroevolutionary analyses suggest that birds adapted for high-powered flight may maximize egg size by increasing egg asymmetry and/or ellipticity, while maintaining a streamlined body plan. Moving forward, it will be important to determine how the developmental process of egg shaping is coupled, in terms of physiology and genetics, with evolutionary constraints associated with flight strength and efficiency. A starting point may be to demonstrate whether anatomical features, like pelvic width, are correlated with egg size and HWI.

REFERENCES AND NOTES
13. Materials and methods are available online as supplementary materials.

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SUPPLEMENTARY MATERIALS
www.sciencemag.org/content/356/6344/1249/suppl/DC1
Materials and Methods
Figs. S1 to S5
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Data S1 and S2
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Avian egg shape: Form, function, and evolution
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The influence of flying
Although birds’ eggs are generally ovoid in shape, there is considerable variation in the degree to which they are symmetrical, round, or bottom-heavy. Many hypotheses have been put forward to explain what has driven this variation, with many accepting life history or nesting explanations. Stoddard et al. looked at nearly 50,000 eggs from more than 1400 species from morphological, biophysical, and evolutionary perspectives and found little support for previous hypotheses (see the Perspective by Spottiswoode). Instead, their results suggest that selection for flight adaptations is most likely to be responsible for the variation.

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